

YII	N	PER	SONAL PROTECTIVE EQUIPMENT
			Masks, Protective Eyewear and Face Shields
			Do employees wear surgical masks during procedures likely to generate splashes or
			sprays of blood or saliva?
			Do employees wear eye protection with solid side shields or a face shield during
			procedures that are likely to generate splashes or sprays of blood or saliva?
			Do employees change masks between patients and during patient treatment if the
			mask becomes wet or visibly contaminated?
			Is PPE removed before leaving the work area?
			Is hand hygiene performed immediately after removal of PPE?
			Gloves
			Do employees wear gloves for potential contact with blood, body fluids, mucous
			membranes, non-intact skin or contaminated equipment?
			Do employees change gloves between patients?
			Do employees wear puncture and chemical-resistant utility gloves when cleaning
			instruments and performing housekeeping tasks involving blood or OPIM (other
			potentially infectious materials)?
			Do employees remove gloves that are torn, cut or punctured and perform hand hygiene
			before putting on new gloves?
			Protective Clothing
			Do employees wear protective clothing (e.g. reusable or disposable gown, lab coat,
			or uniform) that is long sleeved and covers personal clothing as well as skin (e.g.
			forearms) likely to be soiled with blood, saliva or OPIM?
			Do employees change protective clothing if visibly soiled and immediately or as soon
			as possible if penetrated by blood or OPIM?
			PIRATORY HYGIENE/COUGH ETIQUETTE
			signs posted at entrances with instructions to patients with symptoms of respiratory ction?
		Are	tissue and no-touch receptables for disposal of tissue available?
		Are	resources available to perform hand hygiene in waiting areas?
			face masks available for coughing patients and other symptomatic individuals who r the office?
	7	Are	all employees educated on recognition of signs, symptoms and transmission of TB?
	_		written TB infection control plan available to all employees?
	5		baseline TB testing (TST) been performed on all employees who may have contact
		with	possible TB active patients?



	IAH	ND HYGIENE
	Is h	and hygiene performed when hands are visibly soiled; before and after each patient;
		ore and after gloving; and whenever touching contaminated surfaces?
	in a	surgical scrub performed before putting on sterile surgical gloves, which must be used Il surgical procedures (e.g. biopsy, periodontal surgery, apical surgery, implant surgery, surgical extractions)?
	C LI A	ARPS SAFETY
		engineering controls used to prevent injuries (e.g. needle re-capping device, scalpel
		de remover)?
	Are	work practice controls used to prevent injuries (e.g. one-handed scoop technique, not
	brea	aking or bending needles)?
		employees use either one-handed scoop technique or a mechanical device designed for
		ling the needle cap when re-capping needles?
		sharps disposed of in a puncture resistant sharps container located as close as possible
		ne area in which items are used?
	Are	reusable contaminated sharps transported in a closed leak-proof container?
	SAF	E INJECTION PRACTICES
	Are	injections prepared using an aseptic technique, in a clean area free from contaminants
	or c	ontact with blood, body fluids or contaminated equipment?
	Are	needles and syringes used for only one patient?
	Is th	ne dental cartridge syringe appropriately cleaned and heat sterilized before use on
	ano	ther patient?
	Is th	ne rubber septum on a medication vial disinfected with alcohol before piercing?
	Are	medication containers (single and multi-dose vials, ampules and bags) entered with a
	new	needle and a new syringe?
		single-dose vials, ampules and bags or bottle of intravenous solutions used for only
		patient?
		over contents of single-dose vials, ampules and bags of intravenous solutions are not
	com	abined for later use.
		When using multi-dose medication vials:
		Are multi-dose vials dedicated to individual patients whenever possible?
		Are multi-dose vials which are used for more than one patient kept in a centralized
_	. —	medication area?
	Ш	Are multi-dose vials dated when first opened and discarded within 28 days unless
_	. —	the manufacturer specifies a shorter or longer date for the opened vial?  Are fluid influsion and administration sets (i.e. IV bags, tubing and connections) used
		Are fluid infusion and administration sets (i.e. IV bags, tubing and connections) used
		for one patient only?



	INSTRUMENT STERILIZATION AND DISINFECTION OF PATIENT-CARE ITEMS
	Is the instrument processing area separated into 4 sections: A) Receiving, cleaning and
	decontamination, B) Preparation/packaging, C) Sterilization and D) Storage?
шШ	Are reusable critical and semi-critical dental items and devices cleaned and heat sterilized
	according to the manufacturer's instructions before using on patients (e.g. high speed
	handpieces, low speed motors and handpiece components, endodontic instruments, air-
	water syringe tips)?
	Are single-use devices discarded after one use and never used for more than one patient?
	Are work practice controls that minimize contact with sharp instruments used and
	appropriate PPE worn if manual cleaning is necessary (e.g. puncture resistant utility gloves)?
	Are items thoroughly cleaned and visually inspected for residual contamination before
	sterilization?
	Is an enzymatic cleaner or detergent used for pre-cleaning and discarded according to the
	manufacturer's instructions?
	Are instruments appropriately packaged for sterilization after pre-cleaning?
	Is a chemical indicator used internally and externally on all sterilization packaging?
	Are FDA-cleared medical devices designed for sterilization (autoclaves and dry heat
	sterilizers) used according to the manufacturer's instructions?
	Is a biological indicator used at least weekly and with every load containing implantable
	devices?
	Are sterile packages labeled, at a minimum, with the sterilizer used and the date of
	sterilization?
	Are sterilization records maintained (i.e. mechanical, chemical and biological) in
	compliance with state and local regulations?
	Are sterile packages inspected for integrity and, are compromised packages reprocessed
	before use?
	After sterilization, are dental devices and instruments stored in such a manner that sterility
	is not compromised?
	Are reusable, heat sensitive, semi-critical items that cannot be replaced by heat stable or
	disposable high level disinfected according to the manufacturer's instructions?
шш	Are X-ray sensors heat sterilized between patients and covered with a FDA cleared
	barrier? If this is not done they are cleaned and disinfected between patients with an EPA
	registered intermediate-level disinfectant, then covered with and FDA cleared barrier.
	Are X-ray sensor holding or positioning devices heat sterilized or high-level disinfected
	between patients?



ENVIRONMENTAL INFECTION CONTROL
Are clinical contact surfaces either barrier covered or cleaned and disinfected after each patient, using an EPA registered intermediate level disinfectant?
Are cleaners and disinfectants used according to manufacturer's instructions?
Is regulated medical waste handled and disposed of according to local, state and federal regulations?
Are burs, polishing points, rag wheels, etc., sterilized or disinfected between patients or disposable replacements used?
Is PPE used when handling items in the dental laboratory?
Are contaminated items (e.g. bites, impressions, models) disinfected using an EPA registered intermediate level disinfectant?
Are laboratory cases disinfected, and labeled as such, before being sent out?
HOUSEKEEPING SURFACES
Are walls, sinks and floors routinely cleaned with detergent and water or an EPA registered disinfectant/detergent?
Are mops and cloths cleaned after use and allowed to dry?
Are fresh cleaning and disinfecting solutions prepared daily?
DENTAL UNIT WATER QUALITY
Dental unit waterline treatment products/devices are used to ensure that water meets EPA regulatory standards for drinking water (<500 CFU/ml of heterotrophic water bacteria)?
Is sterile saline or sterile water used as a coolant/irrigant when preforming surgical procedures?
Is dental unit water tested quarterly, as recommended, to ensure that it is below 500 CFU of heterotrophic water bacteria?
TRAINING
Is training conducted at least annually for all employees and immediately for new employees?
Has someone in the office been designated to be in charge of infection control?

## DISCLAIMER OF WARRANTY

The employer is ultimately responsible for safety and compliance in their workplace and familiarity with all rules, laws and regulations that may apply. Compliance Training Partners, L.L.C. as well as its agents, dealers and distributors cannot be held responsible for any legal actions, citations, penalties or fines that may result from failure to comply COMPLIANCE TRAINING PARTNERS, LLC AS WELL AS ITS AGENTS, DEALERS AND DISTRIBUTORS HEREBY DISCLAIMS ANY AND ALL REPRESENTATIONS, CONDITIONS AND WARRANTIES, WHETHER EXPRESS OR IMPLIED, ORAL OR WRITTEN, STATUTORY OR OTHERWISE, INCLUDING WITHOUT LIMITATION THE IMPLIED WARRANTIES OR CONDITIONS OF MERCHANTABILITY OR FITNESS FOR THE PURPOSE. At no time shall COMPLIANCE TRAINING PARTNERS, LLC, ITS AGENTS, DEALERS OR DISTRIBUTORS, be responsible for consequential, incidental or special damages, whether for loss of profits or otherwise, or for damages for fundamental breach of contract or breach of a fundamental term. COMPLIANCE TRAINING PARTNERS, LLC'S AND ITS AGENTS, DEALERS AND DISTRIBUTORS obligation to Buyer for defective services is limited to a refund of fees actually received from Buyer. At no time shall COMPLIANCE TRAINING PARTNERS, LLC'S, AND ITS AGENTS, DEALERS AND DISTRIBUTORS liability for any service provided by and supplied by it exceed the purchase price of such service. Warranty claims shall be made upon occurrence. COMPLIANCE TRAINING PARTNERS, LLC AND ITS AGENTS, DEALERS AND DISTRIBUTORS shall have no liability and no warranty of any kind for any failure or breakdown of a service not provided or controlled by it and unless it is fully paid for the services. COMPLIANCE TRAINING PARTNERS, LLC AND ITS AGENTS, DEALERS AND DISTRIBUTORS shall have no liability and no warranty of any kind for any service used for any purpose which CTP did not fully supervise, participate and/or complete. Please see our website, www.hptcinc.com for full disclaimer.